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IMPROVING THE PREDICTION OF PLANT COMMUNITY FUNCTIONAL TRAITS AND SPECIES RICHNESS IN MOUNTAIN GRASSLANDS.

Travail de Maîtrise universitaire ès Sciences en comportement, évolution et conservation Master Thesis of Science in Behaviour, Evolution and Conservation

par

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Abstract

Aim: To assess the potential of new predictors (land use, light availability, edaphic factors and high resolution topoclimatic predictors) in improving the prediction of plant community functional traits (specific leaf area, vegetative height and seed mass) and species richness in mountainous grasslands.

5 **Location:** The western Swiss Alps

6 **Methods:** Using 912 vegetation plots previously sampled, we built predictive models for 7 the studied traits using only the coarse resolution topoclimatic predictors that are 8 normally used in modelling studies. Four new sets of 10 plots were then sampled in 9 homogeneous conditions according to these topoclimatic predictors (corresponding to 10 mountain grasslands), and the values of the new predictors gathered. We projected the 11 topoclimatic models on the new plots, and assessed the capacity of the new predictors to 12 explain the residual variance through multi model inference.

Results: We showed that the proposed predictors could help explaining 15.9% (vegetative height) to 36.6% (specific leaf area) of the residual variance in classical topoclimatic models. No group of predictors notably increased the quality of all models. Land use related data were highlighted as the most important factors for both species richness and vegetative height, light availability for seed mass and edaphic factors for specific leaf area. High resolution topographic predictors seemed to be complementary to land use data to improve model quality.

Main conclusions: We showed that prediction of plant community functional traits and species richness could be improved by new predictors as compared to classical topoclimatic models. As some of them can be implemented in models (high resolution topoclimatic predictors, some of the edaphic factors), we suggest that they might be used in future models.

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Keywords : community ecology * functional traits * high resolution * land use *
 modelling * mountain grasslands * seed mass * species richness * specific leaf
 area * vegetative height

Résumé

Objectif : Etudier le potentiel d'un set de nouveaux prédicteurs (gestion agricole, disponibilité en lumière, facteurs édaphiques et prédicteurs topoclimatiques à haute résolution) pour améliorer la prédiction de traits fonctionnels de communautés de plantes (surface foliaire spécifique, hauteur maximale des feuilles et poids des graines) et de la richesse spécifique dans des prairies de moyenne montagne.

34 Lieu : Les Préalpes vaudoises

35 Méthodes : En utilisant 912 relevés floristiques déjà existants, nous avons construits 36 des modèles prédictifs pour chacun de ces traits en n'utilisant que les prédicteurs 37 topoclimatiques à basse résolution généralement utilisés dans les travaux de modélisation. Quatre sets de 10 relevés ont ensuite été échantillonnés dans des 38 39 conditions homogènes, correspondant à des praires de moyenne montagne, où les valeurs des nouveaux prédicteurs ont également été relevées. En utilisant les 40 41 projections des modèles topoclimatiques sur ces nouveaux sites, nous avons ensuite 42 étudié la capacité de ces nouveaux prédicteurs à expliquer la variance résiduelle par des 43 techniques d'inférence multi-modèle

44 **Résultats** : Nous avons montré que les prédicteurs proposés peuvent expliquer entre 45 15.9% (hauteur maximale des feuilles) et 36.6% (surface foliaire spécifique) de la 46 variance résiduelle des modèles topoclimatiques classiques. Aucun groupe de prédicteur 47 n'augmentait la précision de chacun des modèles. La gestion agricole était le facteur le plus important pour la richesse spécifique et la hauteur maximale des feuilles, la 48 49 disponibilité en lumière pour le poids des graines et les facteurs édaphiques pour la surface foliaire spécifique. Les prédicteurs topographiques à haute résolution 50 semblaient être complémentaires à la gestion agricole pour améliorer les modèles. 51

52 **Conclusions** : Nous avons montré que la prédiction des traits fonctionnels de 53 communauté de plantes peut être améliorée par de nouveaux prédicteurs 54 comparativement aux modèles topoclimatiques classiques. Comme certains prédicteurs 55 peuvent être implémentés (prédicteurs topoclimatiques à haute résolution, certains 56 facteurs édaphiques), nous encourageons leur usage dans de futurs travaux.

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59 Introduction

60 Community ecology is the study of the ensemble of species co-occurring at the same place and time (McGill et al., 2006), and how biotic and abiotic factors will tend to affect 61 62 its structure, and changes in its structure over sites and time (Pyron, 2010). Especially, it 63 seeks to find some general rules or patterns to explain community composition 64 (MacArthur, 1984). In this attempt, it has long been argued that trying to describe 65 communities on the basis of species not only by their Latin name, but rather through their biological characteristics (also called "traits") could provide better and more 66 67 generalizable results (Keddy, 1992; McGill et al., 2006). Keddy (1992) cites the example of van der Valk (1981), who created a model for succession in freshwater wetlands, 68 69 based on life history traits. The generality of the chosen traits enables application of the 70 models in various types of places and flora, whereas a model specifically based on 71 species identity could not have reached such generalization.

Amongst those traits, *functional traits* have specific importance. Functional traits are defined as those traits that have an effect on individual's fitness, via their effects on growth, reproduction or survival (Violle et al., 2007). As they are strongly linked to physiological requirements of species and individuals, they are expected to give more robust conclusions when seeking for general rules shaping communities (McGill et al., 2006). Furthermore, they might give precious insights to ecosystem functioning (Lavorel & Garnier, 2002).

One way to study these functional traits is to compute traits values of an entire community, and to study differences or patterns in these "whole-community" values (Dubuis et al., 2013). This aggregation allows to study general patterns of dependence or causality between trait values and environmental factors, which is of high importance when seeking for general rules shaping communities (Southwood, 1988; Lavorel & Garnier, 2002).

Another important component when trying to characterize communities is species richness. Species richness is defined as the number of species occurring in a given area or system (Díaz & Cabido, 2001). Its importance for ecosystem functioning (Grime, 1998) and proprieties such as resilience (Perterson, Garry et al., 1998) and stability (Tilman et al., 2014) has been widely assessed amongst ecologists.

90 In the seek for general rules explaining community composition and functioning, a very 91 interesting tool is their modelling as a function of environmental or biotic factors 92 (Keddy, 1992; Küster et al., 2011). This approach gives powerful insights on what could 93 drive the distribution of functional traits, as has been exemplified by Küster et al. 94 (2011). They assessed, by use of this technique, the potential impact of climate and land 95 use changes on distribution of leaf anatomy. Although this method is increasingly used 96 (Pellissier et al., 2010; Sonnier et al., 2010; Dubuis et al., 2011, 2013; Küster et al., 2011), 97 these works are generally based on the habitual topoclimatic predictors, with a 98 traditional 25 x 25 m to 100 x 100 m resolution, and only few works have been 99 dedicated so far to strictly assess in what extent new predictors could improve 100 predictions of trait composition in communities (Garnier et al., 2004; Dubuis et al., 101 2013).

102 A notable exception is the study by Dubuis et al. (2013), who tested the influence of 103 edaphic factors on quality of trait models. They showed that inclusion of soil chemical 104 (pH, nitrogen content, phosphorus content) and, to a lesser extent, physical proprieties 105 (soil texture) could significantly improve quality of predictions. Nonetheless, this study 106 focused only on edaphic factors, and other improvements could be attempted

For instance, Dubuis et al. (2013) themselves regretted the absence of land use data in their study. Indeed, land use has been shown to improve prediction of plant abundance (Randin et al., 2009) and to affect grassland floristic composition (Peter et al., 2008) and richness (Zechmeister et al., 2003) in mountainous landscape. It could therefore be expected that land use intensity might have an effect on community trait.

112 Furthermore, increasing the resolution of the maps of environmental factors has to our 113 knowledge never been attempted in the case of community trait modelling, whereas it 114 has been shown to improve distribution models for some species (Pradervand et al., 115 2013). On the other hand, maps of environmental factors are always interpolations from 116 point measurement over a study area (see for example Zimmermann & Kienast, 1999). 117 This implies a certain amount of imprecision during their calculation (Guisan & 118 Zimmermann, 2000). Therefore, when trying to increase quality of prediction, another 119 interesting, although time consuming, approach is to resort to point measurements of 120 environmental factors directly on the field, so as to complement or correct 121 environmental maps.

122 Another point is that Dubuis et al. (2013) were working on an entire elevation gradient, 123 as advised by (McGill et al., 2006) to reach more systematic rules about how community 124 patterns vary over ecological ranges. Nevertheless, there are evidences indicating that 125 the importance of species distribution drivers is not constant over space and time or 126 along productivity gradient (Michalet et al., 2006). Therefore, when working on large 127 environmental gradients, various phenomenons could happen. First, one could loose the 128 effect of some factors, hidden by another that varies more in the study area, as elevation 129 in the case of Dubuis et al. (2013). If a variable varies less than elevation over the study 130 area, it will explain less variance in the data, although it might actually be a main 131 ecological driver. Furthermore, some factors could affect communities in a different way 132 in distinct conditions, as has been shown for land use between high and low elevations 133 (Randin et al., 2009).

134 In this study, we focused on one very narrow ecological range to assess the potential of a 135 set of new potential predictors in improving the quality of models for three community 136 functional traits and species richness. The selected ecological range corresponded to a 137 lowland mountainous landscape, where grazing is very common. Selected traits were 138 specific leaf area (often designed as SLA), vegetative height and seed mass, which 139 represent the three axes of the leaf-height-seed plant strategy introduced by Westoby 140 (1998). New predictors included land-use data, high-resolution maps of environmental 141 factors, and some *in situ* measurements of light availability and soil proprieties. We then 142 discuss the potential of these predictors as new predictors for further modelling works. We were expecting land-use data to be of high importance, especially on species 143 144 richness models, because of the high impact of anthropic disturbance on the study area. 145 Point measurements of light availability were expected to be of little impact on model 146 quality, due to high quality of ecological maps over the study area. Soil chemical 147 proprieties were expected to be of high importance, especially for seed mass, following 148 the conclusions of Dubuis et al. (2013).

149 Methods

150 Study area

151 The study area is located in the Western Swiss Alps (Canton de Vaud, Switzerland, 46°

152 10' to 46° 30' N, 6° 50' to 7° 10' E, Fig. 1). It covers 700 km², and its elevation

ranges from 375 to 3210 m. Outside of forests, agriculture influences most of the area,
with pastures from lowlands to lower alpine zones and meadows mainly at lower
elevations (Randin et al., 2009).

156 Sampling strategy

157 Plots were sampled over the study area, following a random stratified sampling strategy. 158 In order to get a dataset with groups of plots sharing very homogeneous conditions, four 159 strata of narrow topoclimatic proprieties were created (Table 1). Four topoclimatic 160 predictors were considered as of main importance for functional trait modelling and for 161 physical partitioning of the strata: slope, topographic position, global solar radiation 162 over the growing season (June-August) and mean temperature over the growing season 163 (Dubuis et al., 2011, 2013). Temperature, precipitation and solar radiation data were 164 measured by the Swiss network of meteorological stations (www.meteoswiss.ch) and all 165 predictors were generated at a 25 m resolution from a digital elevation model 166 (Zimmermann & Kienast, 1999). Slope was derived from the elevation model using 167 ArcGIS 10.2 spatial analyst tool (ESRI). Topographic position was computed through 168 moving windows that integrate topographic features at various scales. Positive values 169 indicate ridges and tops, whereas negative values correspond to valleys and sinks. 170 Global solar radiation is the sum of the daily average of potential radiation per month 171 over the whole year. It is calculated on the basis of direct, diffuse and reflected solar 172 radiation reaching the area, and takes into account the exposure of the plot and shading 173 surrounding topography (Zimmermann & Kienast, 1999).

174 In each of the four strata, the four predictors were kept within very restricted ranges so 175 as to minimize the variance due to topoclimatic factors (Table 1). The four strata were 176 defined as follows; pixels corresponding to mean growing season temperature from 177 12.2°C to 12.4°C and from 13.2°C to 13.4°C were selected. In each of theses intervals, we 178 then selected pixels with a global solar radiation ranging from either 1600 to 1800 179 kJ·day⁻¹·pixel⁻¹, corresponding to a full North exposure, or from 2800 to 3000 kJ·day⁻ 180 ¹· pixel⁻¹, corresponding to a full South exposure. Global solar radiation for the South-181 exposed, low mean temperature values stratum (stratum c, Table 1) were actually kept 182 between 2800 and 2900 kJ·day⁻¹·pixel⁻¹, as it was possible to gather enough pixels 183 while reducing the range to that extent. We then only kept pixels with a slope between 184 20 and 25° and a topographic position index between -100 and 0, corresponding to

regular slopes. These restricted ranges represented between 1.3 and 7.2% of the total ranges of the predictors over the whole study area (Table 2, Fig. S1). Ten points were then randomly selected in the open lands of each of these four strata, with some replacement plots in each stratum in case a plot would show impossible to reach or sample during field season.

190 Vegetation data

42 plots were finally sampled during the field season 2014 (Fig. 1), with at least ten in each stratum. An exhaustive inventory of the vascular plants was performed in a 4 m² square. We also estimated visually the cover of each species through an adapted Braun-Blanquet abundance-dominance coefficient (Braun-Blanquet, 1964), where r = 1 to 3 individuals, + = <1%, 1=1 to 5%, 2a=6-15%, 2b=16-25%, 3= 26-50%, 4=51-75%, 5=76-100%. The mid-range value of these classes was used for further analysis.

In addition to these 42 plots, we also disposed of 912 plant inventories sampled between 2002 and 2009 in the same study area. Field methods and size of the plots were the same, but the sampling strategy differed. These inventories were selected with a random-stratified sampling strategy which covered the whole area, based on the same ecological predictors but without focus on one particular type of conditions as is the case in our study (Fig. 1; see Dubuis et al. (2013) for more details).

203 Functional traits

204 Three functional traits, corresponding to three different characteristics of plant life 205 according to the leaf-height-seed plant strategy presented by Westoby (1998), were 206 retained for analyses. Specific leaf area is the area of one side of a fresh leaf over its dry mass (in mm²·mg⁻¹; Cornelissen et al., 2003). It is linked to photosynthetic rates and 207 208 carbon fixation (Lavorel & Garnier, 2002). Vegetative height is the distance between the 209 top photosynthetic tissue and the ground (in m; Dubuis et al., 2013) and is linked to 210 disturbance, stress avoidance and competitivity (Lavorel & Garnier, 2002; Cornelissen et 211 al., 2003). Seed mass is the average dry mass (in mg) of the seeds (Cornelissen et al., 212 2003). It represents strategies of plant investment in reproduction; smaller seeds can be 213 produced in higher number, but contain limited amount of resources and are expected 214 to yield lower reproductive success (Cornelissen et al., 2003).

215 For specific leaf area and vegetative height we used data that had previously been 216 collected by Dubuis et al. (2013) for the 240 most abundant species in the same study area. These authors sampled between four and 20 individuals per species in the study 217 218 area, in contrasting environmental conditions, and calculated an average trait value by 219 species. Only values for *Dactylis glomerata* had to be added from the LEDA trait database 220 (Kleyer et al., 2008). Seed mass information was mainly collected from the LEDA trait 221 database (Kleyer et al., 2008). Missing values were complemented from the Kew seed 222 base (Royal Botanic Gardens Kew, 2014) and literature research (Muller-Schneider, 223 1986; Pluess et al., 2005; Vittoz et al., 2009).

224 For each trait, we calculated a weighted mean average for the whole community with 225 cover as weight. Covers were previously rescaled so that the total vegetation cover of a 226 plot would be equal to 100, ignoring the stones, trees and bare soil covers, as well as 227 species for which trait value was missing. Plots for which trait information was available 228 for less than 55% of vegetation cover were discarded. No higher threshold could be 229 applied because of limiting trait data availability. Nonetheless, as we expect missing 230 species to be regularly distributed in the spectrum of trait values, the community means 231 should not be biased. Amongst these 912 plots, 816 had trait data information available 232 for more than 55% of vegetation cover, and 568 in the case of seed mass. Only these 233 plots were retained in further analyses. All trait data were log transformed before 234 performing the analysis, because of non normality of the data. Species richness (SR) was 235 calculated as the total number of species present per plot. No plot was discarded in this 236 case.

237 New predictors collection

238 Land-use data

Land-use data was collected by interviews of the farmers managing the grasslands where the plots were localized. Each of them was joined by phone. Questions were asked about the intensity and type of grazing, fertilization and frequency of mowing when applicable. A land-use intensity index (LUI) was then computed as suggested in Blüthgen et al. (2012):

244
$$LUI = \frac{F_i}{F_R} + \frac{M_i}{M_R} + \frac{G_i}{G_R}$$
(1)

- 245 where F_i is the fertilization level for the plot i (m³ manure · year⁻¹ · ha⁻¹), M_i the frequency
- of mowing per year and G_i the grazing intensity (UGB · days · ha⁻¹ · year⁻¹), F_R , M_R and G_R
- their respective means over the data set. An UGB is a Swiss standardized unit for cattle
- 248 foraging needs (Le Conseil fédéral suisse, 2014)

249 Light availability

For each plot, we measured a shadow index, as an indication of the shadow due to close objects (mainly trees). It is a complement to the global solar radiation information, which represents the amount of light potentially received by each plot, but considering only the topographic relief for shadows. To do so we measured the angle formed by the horizon and any object projecting a shadow on the plot in the South, South-East and South-West directions. The shadow index was calculated as :

256
$$SI = 2S_S + S_{SE} + S_{SW}$$
 (2)

where S_s, S_{SE}, S_{SW} are the horizon-object angle values in the South, South-East and SouthWest directions respectively.

We also measured the actual exposure of the plot with a compass. Again, this was a complement to global solar radiation, as the latest is calculated on the basis of a digital elevation model (DEM) with a 25 m resolution. Therefore, the measured exposure of the 4 m² plot was often quite different to the calculated exposure, for instance because it was located on the side of a bump, changing its actual exposition to sun.

264 Soil measurements

265 Soil depth was measured one to three times at each site with an auger. Whenever a 266 depth deeper than 50 cm was reached, digging was stopped and the soil considered as 267 deep. In other cases, we dug until rock was reached, and the mean of the three holes was 268 calculated. Moreover, the depth of the organo-mineral horizon was measured (A 269 horizon, Baize & Jabiol 1995), as an approximation of the amount of organic matter 270 available in the soil. For each plot, a soil sample of the A horizon was collected on the 271 field and dried for laboratory analyses. We measured the pH of the A horizon with a pH meter after diluting soil in water in a 1:2.5 w/v ratio. Organic C and N contents were 272 273 determined using a Carlo Erba CNS2500 CHN Elemental Analyzer coupled with a Fisons 274 198 Optima mass spectrometer (Tamburini et al., 2003). A C/N ratio was then
275 computed, as a biologically meaningful summary of nutrient availability (Batjes, 1996).

276 High resolution environmental predictors

277 We disposed of high resolution rasters of environmental predictors for the study area, 278 developed by (Pradervand et al., 2013). They developed various predictors at six 279 different scales for the same study area. For the present study, we retained growing 280 degree-days, topographic position and slope as most meaningful predictors at a 5 m 281 resolution, as it yielded the best results in their study. Growing degree-days correspond 282 to the sum of the daily temperatures during the growing season (June, July and August) 283 when temperature above 0°C, and are inferred from the temperature data. Slope and 284 topographic position are similar to that used for stratification of the sampling, but here 285 with a 5 m resolution. For more details about these rasters, see (Pradervand et al., 286 2013).

287 Modelling

The models were performed for all the three functional traits and for the species richness following a similar canvas (Fig. 2). All analyses were performed on R 3.1.2 (R Core Team, 2014)

291 *Topoclimatic models*

292 In a first step, we followed the method of Dubuis et al. (2013) so as to assess how much 293 of variance of community trait values could be explained by classical models (i.e., with 294 topoclimatic predictors only, at a 25 m resolution). We first fitted a best GLM model on 295 the 912 plots for which vegetation data was previously available, with only topoclimatic 296 predictors. Selected predictors to fit the models where the same as in Dubuis et al., 297 (2013) (i.e. moisture index, growing degree days, global solar radiation, slope and 298 topographic position, all at 25 m resolution), which had been shown to perform best on 299 trait prediction. Global solar radiation, slope and topographic position have already 300 been explained in the sampling strategy section. Moisture index represents the amount 301 of water potentially available in soil. It is calculated as the mean difference between 302 precipitation and potential evapotranspiration over the growing season. Growing 303 degree days is still the sum of days of the growing season multiplied by the temperature 304 above 0°C, this time at a 25 m scale. The models were created through stepwise

selection. Assumed distribution was Gaussian for the traits, and Poisson for speciesrichness. These models accounted for what was already possible to predict.

307 We then projected these models on the pixels where the 42 newly sampled plots are 308 situated. On the basis of these projections, we calculated the ordinary residuals of these 309 topoclimatic models for the 42 plots, by computing the difference between the observed 310 and the predicted values for each plot (Zuur et al., 2013). One plot was behaving as an 311 outlier in all models. As it was the only one which had been abandoned for >15 years 312 and that successional process towards forest was already well on its way, we discarded 313 it for the analyses. Trait data was available for over 55% of the plant cover of all the 41 314 remaining plots. We compared the residuals of the four strata through pairwise 315 Wilcoxon tests with Bonferonni correction for multiple comparisons, so as to address 316 the potential effect of stratification in the design.

317 Relative Importance of the new predictors

318 We then performed a second modelling step, by fitting new GLM models on these 319 residuals, this time including only the new predictors. We assessed the importance of 320 each new predictor in explaining the variance of the residuals using an adapted version 321 of the Multimodel Inference technique presented by Burnham, Anderson, & Huyvaert 322 (2010). We created models with all possible combinations of either four of our 323 predictors, or four of the quadratic plus linear terms of our predictors. Assumed 324 distribution for the residuals of the first models was always Gaussian. The number of 325 predictors was limited to four because of the limited number of observations, according 326 to the rule-of-thumb of 1 predictor for 10 observations of Harrell (2001). Models were 327 then ranked by AICc score as is advised for small sample sizes (Shono, 2000), and an 328 Akaike weight w_i was computed for each model. It is a way to calculate the support 329 obtained by each model, given the set of data and models, based on difference in AICc 330 scores (Burnham & Anderson, 2002):

331
$$w_i = \frac{\exp(-\frac{1}{2}\Delta_i)}{\sum_{r=1}^{R} \exp(\frac{1}{2}\Delta_r)}$$
 (3)

where i is the considered model, R the considered set of models, and Δ_i the difference in AICc scores between model i and the best model in the set (i.e. the one with lowest AIC);

$$334 \qquad \Delta_i = AIC_i - AIC_{\min} \tag{4}$$

Based on these Akaike weights, we estimated the Relative Importance (RI) of each predictor. This RI corresponds to the sum of the Akaike weights for each model where the predictor is present (Burnham & Anderson, 2002). This step permitted us to assess how useful each of the new predictors was, relatively to the others, to help explaining the variance that could not be explained by the classical topoclimatic models (first models).

342 Percentage of variance explained by the new predictors

So as to quantify the absolute impact of the new predictors, we created a GLM model for each trait and for species richness including the four best predictors according to relative importance. We limited the number of predictors again to four due to the limited number of observations. These models were again run on the residuals of the topoclimatic models, as new predictors are only available for the new plots. We then estimated the improvements due to the four predictors through calculating the percentage of explained variance for each model.

350 **Results**

351 Fieldwork

Elevations of the 42 plots ranged from 1015 to 1324 m. Strata "a" (low elevation, South exposure) and "b" (low elevation, Northern exposure) ranged from 1015 to 1119 m, and strata "c" and "d" (high elevation, South and North exposure respectively) from 1181 to 1324 m.

Relationship between each trait and its most important new predictor are illustrated inFig. 3. For complete information about all predictors and traits see appendix S2.

358 **Topoclimatic models**

The best topoclimatic models and their performances are presented in Table 3. They could explain 11.6% (seed mass), 38.4% (species richness) 44.2% (specific leaf area) and 63.8% (vegetative height) of the global variance. The residuals inferred for the 42 new sites from these models are illustrated in appendix S3. Wilcoxon tests for differences between strata were non significant.

364 **Relative importance of the new predictors**

The relative importances (RI) of the most important predictors for each trait and for species richness are shown in Fig 4. Weights for some of the related models are shown in appendix S4.

368 Specific leaf area

Two predictors performed notably better than the others, C/N ratio (RI= 0.79) and A horizon depth (RI = 0.75), followed by slope at 5 m resolution (RI= 0.45) and growing degree days at 5 m resolution (RI = 0.27). The soil pH and shadow index were close to these values (RI= 0.21 and 0.2 respectively). All other linear term predictors had a RI < 0.17 and there was a strong break between the relative importance of linear and quadratic terms, the latter being nearly negligible (RI<0.02, Fig. 4A).

375 Vegetative height

376 Two predictors performed slightly better than the others, grazing pressure (RI = 0.4)

- and topographic position at 5 m resolution (RI = 0.36; Fig. 4B), then followed by slope at
- 378 5 m resolution (RI = 0.25), actual exposure of the plot (RI = 0.23) and C/N ratio (RI =
- 379 0.22). All other predictors had a RI < 0.2. Quadratic terms had lower values than linear
- 380 terms.
- 381 Seed mass

Quadratic terms of shadow index (RI = 0.57) and soil depth (0.45) performed notably better than other predictors (Fig. 4C). Relative importance of other predictors was then ranging between 0.24 (quadratic term of LUI index) and 0.02 (quadratic term of actual exposure of the plot). Soil physical proprieties were well represented amongst the most important predictors (second, fourth and fifth places for soil depth, quadratic, and then linear terms, and A horizon depth), as well as land use intensity predictors (LUI, third place, grazing pressure, sixth place).

- 389 Species richness
- 390 Land use intensity index (LUI) and topographic position at 5 m resolution had notably
- high importance (RI = 0.6 and 0.44 respectively, Fig. 4D), then followed by slope at 5 m
- resolution (RI= 0.31), C/N ratio (RI = 0.28), pH (RI = 0.23) and growing degree days at

393 5m resolution (RI = 0.23). All other predictors were under RI = 0.2. Quadratic terms had
394 lower relative importance than linear terms (RI < 0.06).

Percentage of variance explained by the new predictors

The results of the models built with the four best predictors for each trait and for species richness are summarized in Table 4. They respectively explain 36.6% (specific leaf area), 15.9% (vegetative height), 27.2% (seed mass) and 23.4% (species richness) of the residual variance from the first models. Together with the variance already explained by the topoclimatic models (see Table 3), this leads to a total explained variance of 64.6% (specific leaf area), 69.6% (vegetative height), 35.6% (seed mass) and 52.8% (species richness; Fig. 5).

403 **Discussion**

We demonstrated that in our conditions, the inclusion of four new predictors could explain 15.9 to 36.6% of the residual variance of habitual topoclimatic models, leading to an increase of the total explained variance from 5.7 to 24.1%. Highlighted predictors were different between traits; there was no new predictor that could be systematically used to improve models.

409 **Biological relationship between traits and highlighted predictors**

410 SLA was strongly influenced by two soil factors: C/N ratio and A horizon depth. These 411 two predictors were expected to represent nutrient availability, A horizon depth 412 showing the amount of organic matter available in the soil and C/N ratio its quality for 413 biological organisms, especially plant growth (Batjes, 1996; Girard et al., 2011). A 414 relationship between SLA and nutrient availability is widely assessed in literature 415 (Meziane & Shipley, 1999; Cornelissen et al., 2003), and the inclusion of edaphic factors 416 had already been shown to improve quality of SLA predictions by Dubuis et al. (2013). It 417 is therefore not surprising to find them as key predictors to improve SLA models. 418 Nevertheless, it is interesting to note that we were expecting a deep A horizon to be 419 synonym of high nutrient availability for the plant, which causes higher SLA values 420 (Cornelissen et al., 2003). The tendency showed to be inverted in our result, with low SLA values related to deep A horizons. This might be because in mature soils, organic 421 422 matter might indeed accumulate in the topsoil, but in the form of concentrated 423 degradation-resistant materials, therefore not available for the plant (Troeh & 424 Thompson, 1993). A deeper A horizon would then be synonym of less favourable425 organic matter degradation conditions, and low nitrogen availability.

426 Because of the high importance of human activities in the study area, and because of the 427 results of Randin et al. (2009), we were expecting land use to be of high importance 428 when trying to improve community trait predictions in the mountain grasslands. Indeed, 429 vegetative height and species richness both reacted best to land use intensity indicators, 430 with higher species richness in low intensive exploitations and taller species in low 431 grazed exploitations. Interestingly, vegetative height was more related to grazing 432 pasture than to the complete LUI index. This is coherent with ecological theory saying 433 that grazing should favour short against tall plants (Diaz et al., 2007). Our study also 434 supports the previous studies showing a relation between increasing land use intensity 435 and decreasing species richness (Fischer, 1994; Zechmeister et al., 2003; Niedrist et al., 436 2008). Nevertheless, it is important to note a possible confounding factor: the two plots 437 with highest LUI index values had been recently mown when inventoried making 438 species hard to distinguish. Nevertheless, other parcels with lower LUI values had also 439 been recently grazed or mown before sampling, and these plots did not behave as 440 outliers.

441 In both cases of species richness and vegetative height, the land use indicator was 442 directly followed by 5 m resolution slope and topographic position. These high 443 resolution predictors are probably complementary to the land-use information, which is 444 sampled at the scale of the entire parcel (1 to 25 ha in the present study). Indeed, 445 variations in the real land-use intensity might occur within the parcel, as a consequence 446 of variations in the fine scale topography of the site : more flat areas will tend to be more 447 intensively pastured by cattle and easier to reach by the farmer when fertilizing his 448 parcel (personal communications). In this context, 5 m resolution topographic factors 449 might be indicators of fine scale variations in land-use intensity.

450 Contrarily to our expectations, both vegetative height and seed mass showed an 451 importance of actual light quantity received on the plot, with shadow index being the 452 most important predictor for seed mass, and actual exposure of the plot and shadow 453 index being well ranked amongst vegetative height results. As plant height is a key trait 454 in the competition for light (Falster & Westoby, 2003), which is a vital resource for 455 plants (Raven et al., 2000; Westoby et al., 2002), it makes biologically sense that

456 increasing the accuracy of the predictors linked to light availability would increase 457 quality of the prediction of vegetative height. Similarly, a relationship between seed 458 mass and shade is already well established in literature (Salisbury, 1942; Leishman et 459 al., 2000; Pakeman et al., 2008), which has even been presented as the main driver in 460 seed size variation (Westoby et al., 1992). Nevertheless, shade is usually measured as 461 the shade at the soil level - taking into account the light obstruction caused by the 462 vegetation itself - rather than on the amount of light actually reaching the vegetation 463 canopy.

464 Seed mass was also the trait responding the least to topoclimatic models (11.6% of 465 explained variance). The variables retained in these models (slope and both quadratic 466 and linear terms of the moisture index at 25m) would highlight an importance of 467 humidity in controlling seed mass. Similarly, the new predictors used to explain the 468 residuals with multi modelling inference could be related to humidity, with a good 469 representation of soil physical proprieties (soil and A horizon depths). In the silt-rich, 470 loess derived soils of the region, a higher soil depth is linked to a higher water retention 471 potential (Gobat et al., 2010). Increasing the amount of organic matter also affects the 472 water retention potential of the soil (Gobat et al., 2010). This relationship with humidity 473 finds some support in literature (Baker, 1972). Nevertheless, it has been heavily 474 discussed (Westoby et al., 1992; Pakeman et al., 2008), as most of the hypothesized 475 drivers for variation in seed mass (Leishman et al., 2000; Pakeman et al., 2008). 476 Furthermore, instead of an increasing seed mass with shade or dryness of the 477 environment, as Baker (1972) or Salisbury (1942), we found quadratic responses with 478 minimal seed mass at intermediate shade and soil depth, and highest seed mass at 479 intermediate A horizon depth. This difference between studies is difficult to explain, and 480 would need supplementary data to be confirmed. Previous studies have already 481 confirmed that the interpretation of mains drivers of variation in seed mass is equivocal 482 (Salisbury, 1942; Baker, 1972; Westoby et al., 1992; Leishman et al., 2000; Pakeman et 483 al., 2008).

484 Contrarily to our expectations, our results partially contradict those of Dubuis et al. 485 (2013), who found that soil chemical proprieties explain a significant part of the 486 variance in seed mass in the same study area. Furthermore, we did not find any 487 correlation between seed mass and temperature, which has been highlighted in various 488 works (Pakeman et al., 2008; Dainese & Sitzia, 2013). Nevertheless, these authors 489 worked on a much broader elevation or geographical range, whereas we focussed on 490 short gradients. Therefore, we see a plausible explanation for both these last apparent 491 contradiction: soil proprieties and temperature might be important to distinguish seed 492 mass variations *amongst* a wide gradient of different ecological conditions, and not 493 *within* the restricted range of ecological conditions and vegetation types studied here.

494 **Potential for prediction**

495 Soil proprieties showed to be important predictors, appearing in three of the four final 496 models. Soil chemical proprieties were already shown as possible to be modelled across 497 a geographic area (Burri, in prep), and such maps are currently being developed for the 498 study area (Burri, unpublished work). These could be valuable information to 499 implement in future models. Nevertheless, currently, physical soil information, such as 500 soil or A horizon depths, cannot be inferred from remote sources and therefore still have 501 to be measured in the field for each plot. As they do not exist as maps, they cannot be 502 used as predictors for model projections.

503 The same applies to our fine scale measurements of light availability; as such, they do 504 not exist as maps for the study area, and are difficult to sample. Nevertheless, the results 505 of our study showed that the light availability related predictors normally used in 506 topoclimatic models were not as complete as expected, especially for seed mass. Remote 507 sensing approaches nowadays permit to detect changes in the earth surface up to the 508 single tree level, and such approaches are already being applied in forestry (Morsdorf et 509 al., 2004). Moreover, Pradervand et al. (2013) already developed maps of solar radiation 510 at a 1 m resolution, although not accounting for tree cover. Taken together, these 511 elements could be useful hints to improve models quality, especially for seed mass, as 512 direct light availability measurements showed to be much more important in our study 513 than was primarily expected.

High resolution topoclimatic predictors were highlighted in three of the four final models. As these predictors are nowadays relatively easy to infer and implement in models (Pradervand et al., 2013), it is an interesting hint for further improvement of the models. Nevertheless, for vegetative height and species richness, they accompany landuse information, probably refining it. Our study does not show whether they would be able to perform so well without land-use information.

520 In the context of this study, land use was highlighted as a major new predictor for both 521 vegetative height and species richness, and was also quite important to explain seed 522 mass. Nevertheless, it is important to remember that land use is often a very difficult 523 piece of information to obtain. It rarely exists as maps and was a very time consuming 524 step in our study, as only available with precision after discussing with the farmer. 525 Furthermore, Randin et al. (2009) showed that this factor could improve species 526 abundance models over the entire elevation range zone in the same study area but 527 improvement was specifically for species occurring in lower areas, where anthropic 528 disturbance occur. Therefore, it would be important to verify if land use is still as 529 important when extending modelling efforts to a broader ecological range.

530 Limitations

531 Because of trait data availability, we had to put the threshold for minimum cover 532 proportion with trait data information at 55%, although literature advices a value of 533 80% (Pakeman & Quested, 2007). A higher threshold would have discarded some of the 534 42 plots used in the second modelling step, what would have reduced the statistical 535 power of our analyses. However, as we expect missing species to be regularly 536 distributed in the spectrum of trait values, the community means ought not to be biased 537 in one or the other direction. Caution should nevertheless be taken in future works to 538 sample more trait data for the study area, and whenever possible, high trait-data 539 availability thresholds should also be applied.

540 Another limitation in this work is the fact that we used a restricted environmental 541 gradient. The strength of this approach is to allow detection of small variations that 542 would normally be lost in the background of a larger gradient, or that could operate 543 differently amongst different ecological conditions. But on the other hand, it is therefore 544 difficult to extrapolate the validity of these results to other ecological conditions. One 545 important step before implementing the suggested predictors in models should be to 546 test them in other ecological conditions. It would also be interesting to test the 547 importance of land-use data outside the range of highly managed mountain grasslands, 548 and the efficiency of high-resolution topoclimatic predictors when uncoupled from land 549 use data.

551 **Conclusions**

552 We showed that, in grasslands of the Western Swiss Alps, some part of the remaining variance in classical topoclimatic models (25 m resolution) could be explained by new 553 554 complementary predictors: land use, edaphic and high resolution topoclimatic 555 predictors (5 m). Some of them (land use, soil physical proprieties) are complicated to 556 obtain and do not exist as maps for projections. Still, they may yield important 557 improvement in model quality and should therefore not be omitted in future work, at 558 least when projection is not needed. On the other hand, predictors such as high 559 resolution environmental predictors or chemical soil composition, could be implemented in future modelling works, and therefore offer promising clues for 560 561 community trait and species richness models improvement.

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Tables:

- 737 Table 1 : Ranges of topoclimatic predictors used in the stratification of the sampling
- 738 strategy.

Stratum	Mean	Slope	Topographic	Global solar
	temperature		position	radiations
	(°C)	(°)	(unit-less)	(kJ · day ⁻¹ · pixel ⁻¹)
а	13.2-13.4	20-25	-100-0	2800-3000
"Low elevation,				
South exposure"				
b	13.2-13.4	20-25	-100-0	1600-1800
"Low elevation,				
North exposure"				
С	12.2-12.4	20-25	-100-0	2800-2900
"High elevation,				
South exposure"				
d	12.2-12.4	20-25	-100-0	1600-1800
"High elevation,				
North exposure"				

- 741 Table 2 : Fractions of the total available ecological range represented by the studied
- 742 strata (mountain grasslands)

Predictor	Total range over	Restricted	Percentage
	the study area	ranges retained	
		in sampling	
Mean temperature	2.8- 18.3	13.2-13.4	1.3%
June-August (°C)		12.2-12.4	
Slope (°)	0 - 80	20-25	6.25%
Topographic	-699 – 1054	-100-0	5.7%
position (unit-less)			
Global solar	313.3 - 3106.8	2800-3000	7.2%
radiation		1600 1900	
(kJ·day ⁻¹ ·pixel ⁻¹)		1000-1000	

Table 3 Summary of topoclimatic models for the four traits and species richness. Retained

- 747 predictors = predictors retained by the stepwise selection process. These models were
- calibrated on plots previously sampled by Dubuis et al.(2013), using only 25 x 25 m topoclimatic
- 749 predictors.

			%
Trait	Retained predictors	AIC	explained
			variance
Specific leaf area	 Growing degree days Global solar radiation Slope Topographic position Moisture index Global solar radiation² Slope² Moisture index² 	-1788.5	44.20%
Vegetative height	 Growing degree days Slope Moisture index Growing degree days² Topographic position² Moisture index² 	-397.1	63.80%
Seed mass	 Slope Moisture index Moisture index² 	-113.5	11.60%
Species richness	 Growing degree days Slope Topographic position Growing degree days² Global solar radiation² Slope² Topographic position² Moisture index² 	10356.9	38.40%

Table 4 : AIC and percentage of explained residual variances for the four models built with
the four most important predictors for each trait and for species richness. Percentages are
expressed in percentage of the residual variance from the first modeling step.

Trait	Retained best predictors	AIC	% of explained variance
Specific	C/N ratio	-154.75	36.6 %
leaf area	A horizon depth		
	 Slope (5 m resolution) 		
	Growing degree days (5 m resolution)		
Vegetative	Grazing pressure	-39.75	15.9 %
height	• Topographic position (5 m resolution)		
	Slope (5 m resolution)		
	Exposition		
Seed mass	• Shadow index (linear and quadratic	-9.6894	27.2 %
	terms)		
	• Soil depth (linear and quadratic terms)		
Species	LUI index	297.35	23.4%
richness	• Topographic position (5 m resolution)		
	• Slope (5 m resolution)		
	C/N ratio		

757 **Figures:**

- **Fig. 1 : Map of the study area**, with vegetation plots newly inventoried in 2014 (orange
- triangles) and previously inventoried (2002-2009, white dots; Dubuis et al., 2013)



762763 Fig. 2 Scheme of the statistical analyses canvas.



Fig. 3 : Relationship between each trait and its most important new predictor. SLA =

specific leaf area, VH = vegetative height, SM = seed mass, SR = species richness. Complete

information is available in S2.



Fig. 4: Relative Importance for the ten best predictors in explaining residuals of the first
models. A = specific leaf area, B = vegetative height, C = seed mass, D = species richness.
Topographic pos. = topographic position, LUI = Land Use Intensity index, G. degree days =
growing degree days.



Fig. 5 : percentage of explained variance for the four models. Dashed lines = variance
explained by the first models (topoclimatic predictors, calibrated on the plots previously
sampled; Dubuis et al., 2013); dark grey = supplementary variance explained by the second
models (four most important new predictors, calibrated on the plots sampled in the field season
2014); light grey = unexplained variance.



787 Supporting information:

788

789 Appendix S1

- 790 **Ranges of predictors used for the sampling strategy** and their distribution over the
- study area. A = global solar radiation, B = slope, C = mean temperature, D = Topographic
- position. Blue and red show the different strata when applicable. In black is the entire study area



Appendix S2: relationship between traits and predictors

- **Appendix S2A**: **Specific leaf area** as a function of each new potential new predictor.
- 798 CWM = community weighted mean (mm²·mg¹), G. degree days = growing degree days,
- 799 Topographic pos. = topographic position.



- 803 Appendix S2B: Vegetative height. CWM = community weighted mean (m), G. degree
- 804 days = growing degree days, Topographic pos. = topographic position.





808 Appendix S2C: Seed mass. CWM = community weighted mean (mg), G. degree days =

809 growing degree days, Topographic pos. = topographic position.



810



- 813 Appendix S2D: Species Richness. Observed values = observed number of species per
- 814 plot, G. degree days = growing degree days, Topographic pos. = topographic position.



818 Appendix S3:

819 Plot of the residuals of the topoclimatic models for the A) specific leaf area B) vegetative820 height C) seed mass D) species richness obtained for the 42 new plots.



822 Appendix S4 :

- 823 Weights of the 15 best models for A) specific leaf area B) vegetative height C) seed mass D)
- 824 species richness. Variables included in each models are designed by the following code: 1 = C/N
- ratio, 2 = topographic position, 3 = growing degree days, 4 = exposition, 5 = shadow index, 6 =
- LUI index, 7 = pH. 8 = Grazing pressure, 9 = 5 m resolution slope, 10 = A horizon depth, 11 = soil
- depth. 12-22 = quadratic term of these variables, in the same order. 23 = null model.

